

OMB APPROVAL	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person * <u>St. John Edward A.</u> (Last) (First) (Middle) <u>2560 LORD BALTIMORE DRIVE</u> (Street) <u>BALTIMORE MD 21244</u> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>CAPRICOR THERAPEUTICS, INC. [CAPR]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director <input checked="" type="checkbox"/> 10% Owner Officer (give title below) Other (specify below)
	3. Date of Earliest Transaction (Month/Day/Year) <u>03/16/2016</u>	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/16/2016		P		416,666	A	\$2.4	842,439	D ⁽¹⁾⁽²⁾	
Common Stock								1,556,141	I	By MD BTL, LLC ⁽³⁾
Common Stock	03/16/2016		P		416,666	A	\$2.4	842,439	I	By Edward St. John, LLC ⁽⁴⁾
Common Stock								1,556,141	I	By MD BTL, LLC ⁽⁵⁾
Common Stock								54,602	D ⁽⁶⁾	
Common Stock								324,196	I	By MD BTL, Inc. ⁽⁷⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Warrant	\$4.5	03/16/2016		P		208,333		09/17/2016	03/16/2019	Common Stock	208,333	\$0	208,333	D ⁽¹⁾⁽²⁾	
Warrant	\$4.5	03/16/2016		P		208,333		09/17/2016	03/16/2019	Common Stock	208,333	\$0	208,333	I	See Footnote ⁽⁴⁾

1. Name and Address of Reporting Person *
St. John Edward A.

 (Last) (First) (Middle)
2560 LORD BALTIMORE DRIVE

 (Street)
BALTIMORE MD 21244

 (City) (State) (Zip)

1. Name and Address of Reporting Person *

Edward St. John, LLC

(Last) (First) (Middle)

2560 LORD BALTIMORE DRIVE

(Street)

BALTIMORE MD 21244

(City) (State) (Zip)

Explanation of Responses:

1. This Form 4 is filed jointly by Edward A. St. John and Edward St. John, LLC ("St. John LLC"). The shares and warrants reported here are owned directly by St. John LLC.
2. St. John LLC entered into a Subscription Agreement with Capricor Therapeutics, Inc. (the "Issuer") pursuant to which St. John LLC, on March 16, 2016, purchased an aggregate of 416,666 shares of the Issuer's common stock at a purchase price of \$2.40 per share for an aggregate purchase price of approximately US\$1,000,000. In connection with the purchase of shares, St. John LLC also received a warrant to purchase up to 208,333 shares of the Issuer's common stock at a strike price of \$4.50 per share, exercisable at any time between September 17, 2016 and March 16, 2019.
3. The shares reported here are owned indirectly by St. John LLC.
4. Mr. St. John is the sole member and general manager of St. John LLC. Accordingly, Mr. St. John is the indirect beneficial owner of the shares and warrants reported here. Mr. St. John disclaims beneficial ownership of these shares and warrants except to the extent of his pecuniary interest in the shares and warrants.
5. The shares reported here are owned indirectly by Mr. St. John.
6. The shares reported here are owned directly by Mr. St. John.
7. The shares reported here are owned indirectly by Mr. St. John.

/s/ Joseph P. Ward, attorney-in-
fact 03/18/2016

/s/ Joseph P. Ward, attorney-in-
fact 03/18/2016

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.