## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **Notice of Effectiveness**

**Effectiveness Date:** June 30, 2016 4:30 P.M.

Form: S-3

**CIK:** <u>0001133869</u>

**Company Name:** CAPRICOR THERAPEUTICS, INC.

**File Number:** <u>333-212017</u>