

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**Notice of Effectiveness**

**Effectiveness Date:** December 17, 2019 12:00 P.M.

**Form:** S-1

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**CIK:** [0001133869](#)

**Company Name:** CAPRICOR THERAPEUTICS, INC.

**File Number:** [333-235358](#)