FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL								
OMB Number:	3235-0287							
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hours per response:	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  GRASMICK LOUIS J  (Last) (First) (Middle)						2. Issuer Name and Ticker or Trading Symbol CAPRICOR THERAPEUTICS, INC. [ CAPR ] 3. Date of Earliest Transaction (Month/Day/Year) 08/19/2015									Relationship of Reporting F (Check all applicable)     Director     Officer (give title below)			(s) to Issuer  10% Ov Other (s	wner
C/O CAPRICOR THERAPEUTICS, INC. 8840 WILSHIRE BLVD., 2ND FLOOR						4. If Amendment, Date of Original Filed (Month/Day/Year)								- 1	6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(Street) BEVERLY HILLS CA 90211																			
(City)	(State)	(Zi <sub>l</sub>			<u> </u>	_	•••			D:			D (						
Dat				2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr.		4. Securiti Disposed	ies Ac	quired (A	A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		orted (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
								Code	v			(A) or (D)	Price					(Instr. 4)	
Common Stock					08/19/2015				P		1,320	)	Α	\$4.22	1,320(1)			D	
Common Stock				08/	08/20/2015				P		4,630	)	A	\$4.077	5,950(1)			D	
Common Stock				08/19/2015		5			P		1,320	)	A	\$4.22	1,320			I	By spouse.
Common Stock				08/	08/20/2015				P		4,630		A	\$4.077	5,950			I	By spouse.
Common Stock															1,039,436			<b>I</b> (2)	By Nancelou, Inc.
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)  2. Conversio or Exercis Price of Derivative Security		3. Transaction Date (Month/Day/Year)	if any	xecution Date,		tion istr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerci Expiration Da (Month/Day/Y		te	7. Title and Amo Securities Unde Derivative Secu (Instr. 3 and 4)		nderlying ecurity	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transacti	e s illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A) (D)		Date Exercisable		Expiration Date	Title	•	or Number of Shares		(Instr. 4)			

## **Explanation of Responses:**

- 1. Represents shares held by the Reporting Person with his spouse as tenants by the entirety.
- 2. 1,039,436 shares of the Issuer's common stock are beneficially owned by Nancelou, Inc. Louis Grasmick and Nancy Grasmick, husband and wife, own 50% of Nancelou, Inc. as tenants by the entirety, and Grant Grasmick, the son of Louis Grasmick and Nancy Grasmick, owns the remaining 50% of Nancelou, Inc. Therefore, Louis Grasmick, Nancy Grasmick are deemed to have shared voting and dispositive power with respect to the securities of the Issuer beneficially owned by Nancelou, Inc.

/s/ Linda Marban, as Attorney-in-

<u>Fact</u>

08/21/2015

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\*\* Signature of Reporting Person

Date

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

- If the form is filed by more than one reporting person, see instruction 4 (b)(v)
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.